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APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/785,156	02/25/2004	Lakshman R. Sehgal	3840-006-27

Supervisor, Patent Prosecution Services
 PIPER RUDNICK LLP
 1200 Nineteenth Street, N.W.
 Washington, DC 20036-2412



CONFIRMATION NO. 8149

FORMALITIES LETTER



OC000000012706092

Date Mailed: 05/19/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted***Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- This application clearly fails to comply with the requirements of 37 CFR. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

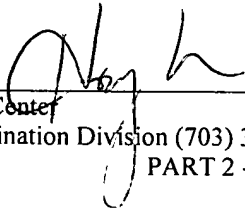
For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at

patin2help@uspto.gov or patin3help@uspto.gov

Replies should be mailed to: Mail Stop Missing Parts
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A copy of this notice MUST be returned with the reply.



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PART 2 - COPY TO BE RETURNED WITH RESPONSE



PIPER RUDNICK ^{LLP}
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WASHINGTON, D.C. 20036-2412
TELEPHONE: 202-861-3900
FACSIMILE: 202-223-2085

DOCKET NO.: 3840-006-27

**ATTENTION: APPLICATION BRANCH
THIS IS A RESPONSE TO A
NOTICE TO FILE MISSING PARTS OF APPLICATION**

ASSISTANT COMMISSIONER FOR PATENTS
PO BOX 1450
ALEXANDRIA, VA 22313-1450

Re: Inventors: Lakshman R. SEHGAL, et al.
Serial No: 10/785,156
Filed: February 25, 2004
For: THERAPEUTIC APPLICATIONS OF THROMBOMODULIN GENE
VIA VIRAL AND NON-VIRAL VECTORS

SIR:

Attached hereto for filing are the following papers:

Fee Transmittal

Request for Extension of Time (Four-Months)

Filing of Declaration Under 37 C.F.R. 1.53(f)

Declaration, Power of Attorney and Petition (3 pages, executed)

Notice to File Missing Parts (Return Copy)

List of 10 Practitioners to be Recognized by the Office as Being of Record

Statement Under 37 C.F.R. § 1.821-1.825

Sequence Listing Paper Copy (10 pages)

Sequence Listing (Diskette)

Petition Pursuant to 37 C.F.R. § 1.47(a) (with two Exhibits)

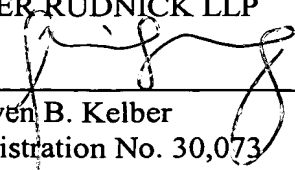
Information Disclosure Statement

PTO-1449

Cited Documents (47)

Our check in the amount of \$895.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,
PIPER RUDNICK LLP




Steven B. Kelber
Registration No. 30,073
Attorney of Record
Ping Wang
Registration No. 48,328

FEE TRANSMITTAL

Docket No.	3840-006-27
Serial No.	10/785,156
Filing Date	February 25, 2004
Inventor(s)	Lakshman R. SEHGAL, et al.
Group Art Unit	1642
Examiner	

1. <input type="checkbox"/> Applicant claims small entity status. <input type="checkbox"/> Charge any UNDERPAYMENT or credit any OVERPAYMENT in the indicated fees to Deposit Account No. 50-1442. <input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.														FEE CALCULATION (continued)							
2. <input type="checkbox"/> Check enclosed.														3. ADDITIONAL FEES							
														Large Entity		Small Entity		Fee Description			
														Fee Code	Fee (\$)	Fee Code	Fee (\$)			Fee Paid	
FEE CALCULATION														1051	130	2051	65	Surcharge-late filing fee or oath			
1. BASIC FILING FEE														1052	50	2052	25	Surcharge-late provisional filing fee or cover sheet			
Large Entity		Small Entity		Fee Description				1053	130	1053	130	Non-English Specification									
Fee Code	Fee (\$)	Fee Code	Fee (\$)					Fee Paid		1251	110	2251	55	1-mo. ext. of time							
1001	790	2001	395	Utility filing fee						1252	430	2252	215	2-mo. ext. of time							
1002	350	2002	175	Design filing fee						1253	980	2253	490	3-mo. ext. of time							
1003	550	2003	275	Plant filing fee						1254	1530	2254	765	4-mo. ext. of time	765.00						
1004	790	2004	395	Reissue filing fee						1255	2080	2255	1040	5-mo. ext. of time							
1005	160	2005	80	Provisional filing fee						1401	340	2401	170	Notice of Appeal							
SUBTOTAL (1)								\$0.00		1402	340	2402	170	Appeal Brief							
2. EXTRA CLAIM FEES														1403	300	2403	150	Request for Oral Hearing			
tot. claims			-	20*	=	0	x	\$9	=	0	1501	1370	2501	685	Utility/Reissue Issue Fee						
ind. claims			-	3*	=	0	x	\$44	=	0	1502	490	2502	245	Design Issue Fee						
<input type="checkbox"/>		Multiple Dependent Claims						\$150	=		1504	300	1504	300	Publication Fee						
Large Entity		Small Entity		Fee Description				8001	3	8001	3	Advance Copy of Patent									
Fee Code	Fee (\$)	Fee Code	Fee (\$)							1460	130	1460	130	Petitions to the Commissioner	130.00						
1202	18	2202	9	Claims in excess of 20						1806	180	1806	180	IDS Submission							
1201	88	2201	44	Independent claims in excess of 3						8021	40	8021	40	Assignment recordation							
1203	300	2203	150	Multiple dependent claim, if not paid						1801	790	2801	395	For Filing RCE							
1204	88	2204	44	*Reissue independent claims over original patent						1814	110	2814	55	Terminal Disclaimer							
1205	18	2205	9	*Reissue claims in excess of 20 and over original patent				OTHER (indicate below):													
SUBTOTAL (2)								\$0.00													
* or number previously paid, if greater; For Reissues, see above														SUBTOTAL (3)		\$895.00					

Name	Steven B. Kelber	Registration No.		30,073	
Signature		Date	11-19-04	Telephone	202-861-3900
Name	Ping Wang	Registration No.		48,328	

DOCKET NO. 3840-006-27



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Lakshman R. SEHGAL, et al. ART UNIT: 1642
SERIAL NO.: 10/785,156 EXAMINER:
FILING DATE: February 25, 2004
FOR: THERAPEUTIC APPLICATIONS OF THROMBOMODULIN GENE VIA
VIRAL AND NON-VIRAL VECTORS

FILING OF DECLARATION UNDER 37 C.F.R. 1.53(f)

ASSISTANT COMMISSIONER FOR PATENTS
PO BOX 1450
ALEXANDRIA, VA 22313-1450

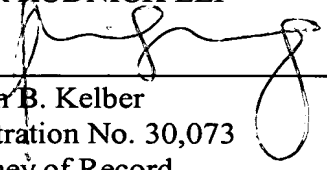
SIR:

Responsive to the notification dated May 19, 2004, and in accordance with the provisions of 37 C.F.R. 1.53(f), Applicants submit herewith a Rule 63 Declaration. The required fee was paid at the time of filing the application.

In light of the foregoing, this application is deemed to be in proper condition for examination and such favorable action is earnestly solicited.

Respectfully submitted,

PIPER RUDNICK LLP



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